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Acquisition Development 82 Acquisition Completed 542 Acquisition Development 542 Acquisition Development 542 Earnings, 09/18 754 Earnings, 06/18 628 Acquisition Completed 226 Earnings, 03/18 488 Annual Report, 12/17 82 Acquisition Development 82 Acquisition Development 421 Acquisition Development 226 Acquisition Completed 82 Acquisition Completed 488 Acquisition Completed 542 GAMCO GLOBAL GOLD, NATURAL RESOURCES & INCOME TRUST Earnings, 06/17 82 Annual Report, 12/16 82 Earnings, 06/18 702 GAMCO INVESTORS INC Annual Report, 12/17 226 Earnings, 09/18 754 Earnings, 06/18 628 Earnings, 03/18 488 GAMCO NATURAL RESOURCES, GOLD & INCOME TRUST Earnings, 06/18 674 GARRISON CAPITAL INC Annual Report, 12/17 227 Earnings, 09/18 754 Earnings, 03/18 542 Earnings, 06/18 628 GDL FUND (THE) Earnings, 06/18 674 GENERAL AMERICAN INVESTORS CO., INC. 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†Includes balance sheet‡Includes balance sheet items.

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|--|------|---|------|---|------|
| MID-AMERICA APARTMENT COMMUNITIES INC | | Earnings, 03/18 | 596 | Earnings, 04/17 | 37 |
| Annual Report, 12/17 | 274 | Earnings, 06/18 | 637 | Annual Report, 10/17 | 93 |
| Earnings, 06/18 | 636 | Annual Report, 12/17 | 637 | NEUBERGER BERMAN NEW YORK MUNICIPAL FUND INC | |
| Earnings, 03/18 | 495 | Earnings, 03/18 | 556 | New Name | 677 |
| MID-PENN BANCORP INC | | Annual Report, 12/17 | 278 | Earnings, 04/18 | 712 |
| Merger Development | 90 | Merger Completed | 435 | NEUBERGER BERMAN REAL ESTATE SECURITIES INCOME FUND INC | |
| Earnings, 09/18 | 762 | Offering | 36 | Annual Report, 10/16 | 38 |
| Merger Completed | 636 | Earnings, 06/18 | 637 | Annual Report, 10/17 | 93 |
| Merger Completed | 36 | Offering | 36 | Earnings, 04/18 | 712 |
| Annual Report, 12/17 | 274 | Merger Completed | 278 | NEW AMERICA HIGH INCOME FUND, INC. | |
| Earnings, 06/18 | 636 | Earnings, 09/18 | 763 | Earnings, 06/18 | 677 |
| Earnings, 03/18 | 555 | Annual Report, 12/17 | 435 | NEW BANCORP INC | |
| MID-SOUTHERN BANCORP INC | | Earnings, 03/18 | 556 | Earnings, 03/18 | 556 |
| Earnings, 03/18 | 636 | Earnings, 09/17 | 763 | Annual Report, 12/17 | 436 |
| Annual Report, 12/17 | 636 | Earnings, 06/18 | 637 | Earnings, 06/18 | 638 |
| Earnings, 06/18 | 762 | MVC CAPITAL INC | | NEWDOMINION BANK (CHARLOTTE, NC) | |
| MID-SOUTHERN SAVINGS BANK, F | | Earnings, 07/18 | 711 | Merger Completed | 596 |
| Plan of Conversion and Reorganization | 91 | Earnings, 04/18 | 556 | Merger Development | 93 |
| MIDDLEFIELD BANC CORP. | | Earnings, 01/18 | 436 | NEW ENGLAND REALTY ASSOCIATES L.P. | |
| Earnings, 09/18 | 762 | MW BANCORP INC | | Acquisition Completed | 436 |
| Earnings, 03/18 | 555 | Offering | 91 | Earnings, 09/18 | 764 |
| Annual Report, 12/17 | 275 | Sale Completed | 763 | Annual Report, 12/17 | 436 |
| Earnings, 06/18 | 636 | | | Earnings, 09/18 | 556 |
| MIDDLETOWN VALLEY BANK (MD) | | N | | NEW BERMAN FUND, INC. | |
| Dividend Announcement | 763 | EAGLE CAPITAL GROWTH FUND INC | | Earnings, 06/18 | 712 |
| Converting to Bank Holding | 763 | Earnings, 06/18 | 711 | Annual Report, 10/17 | 38 |
| Annual Report, 12/17 | 762 | Earnings, 06/17 | 36 | Earnings, 06/18 | 677 |
| MIDLAND STATES BANCORP INC | | Annual Report, 12/16 | 36 | NEW IRELAND FUND INC (THE) | |
| Earnings, 03/18 | 555 | NAS FINANCIAL INC | | Annual Report, 10/17 | 38 |
| Earnings, 09/18 | 763 | Earnings, 06/18 | 637 | Earnings, 04/18 | 677 |
| Merger Completed | 275 | Annual Report, 09/17 | 637 | NEW JERSEY COMMUNITY BANK | |
| Annual Report, 12/17 | 275 | Earnings, 12/17 | 91 | Merger Completed | 436 |
| Earnings, 06/18 | 636 | Earnings, 03/18 | 556 | NEW MOUNTAIN FINANCE CORP | |
| MIDSOUTH BANCORP, INC. | | Annual Report, 12/17 | 637 | Annual Report, 12/17 | 282 |
| Annual Report, 12/17 | 276 | Earnings, 03/18 | 556 | Earnings, 06/18 | 638 |
| Earnings, 03/18 | 495 | Annual Report, 12/17 | 279 | Earnings, 03/18 | 557 |
| Earnings, 09/18 | 763 | Earnings, 09/18 | 763 | Earnings, 06/18 | 764 |
| Earnings, 06/18 | 636 | NATIONAL BANK OF GREECE S A | | NEW ORLEANS BANKSHARES INC | |
| MIDWESTONE FINANCIAL GROUP, INC. | | Annual Report, 12/17 | 596 | Earnings, 06/18 | 638 |
| Earnings, 09/18 | 763 | NATIONAL BANK HOLDINGS CORP | | Annual Report, 12/17 | 437 |
| Annual Report, 12/17 | 276 | Merger Completed | 36 | Earnings, 03/18 | 557 |
| Earnings, 03/18 | 495 | Annual Report, 12/17 | 279 | NEW RESOURCE BANCORP | |
| Earnings, 06/18 | 637 | Earnings, 03/18 | 496 | Merger Completed | 557 |
| MILLER/HOWARD HIGH INCOME EQUITY FUND | | Earnings, 09/18 | 763 | NEW YORK COMMUNITY BANCORP INC. | |
| Annual Report, 10/17 | 91 | Earnings, 06/18 | 637 | Annual Report, 12/17 | 282 |
| Earnings, 04/17 | 36 | NATIONAL BANKSHARES INC. (VA) | | Earnings, 06/18 | 638 |
| Earnings, 04/18 | 676 | Earnings, 03/18 | 556 | Earnings, 18 | 496 |
| MINDEN BANCORP INC (LA) | | Annual Report, 12/17 | 282 | Earnings, 09/18 | 764 |
| Sale Completed | 36 | Earnings, 09/18 | 763 | Dividend Announcement | 639 |
| MNB HOLDINGS CORP (CA) | | NATIONAL CAPITAL BANK OF WASHINGTON | | Earnings, 06/18 | 639 |
| Annual Report, 12/17 | 595 | Co. Repurchasing Certain Securities | 556 | Earnings, 09/18 | 764 |
| MOGO FINANCE TECHNOLOGY INC | | NATIONAL HEALTH INVESTORS, INC. | | Annual Report, 12/17 | 283 |
| Annual Meeting Development | 555 | Earnings, 06/18 | 638 | Earnings, 03/18 | 557 |
| Official Changes | 495 | Earnings, 03/18 | 496 | NEW YORK REIT INC | |
| Offering | 36 | Annual Report, 12/17 | 280 | Earnings, 09/18 | 764 |
| Stock Interest Change | | NATIONAL HOLDINGS CORP | | Annual Report, 12/17 | 284 |
| Development | 555 | Annual Meeting Development | 36 | NEW YORK MORTGAGE TRUST INC | |
| Stock Trading Status | 435 | Earnings, 12/17 | 92 | Earnings, 06/18 | 639 |
| MONMOUTH REAL ESTATE INVESTMENT CORP | | New Accountant | 556 | Earnings, 09/18 | 764 |
| Earnings, 06/18 | 637 | Annual Report, 09/17 | 36 | Annual Report, 12/17 | 284 |
| Earnings, 03/18 | 495 | Earnings, 06/18 | 638 | NEXPOINT STRATEGIC OPPORTUNITIES FUND | |
| Earnings, 12/17 | 91 | Annual Meeting Development | 36 | New Name | 284 |
| Acquisition Development | 596 | Earnings, 03/18 | 556 | Earnings, 06/18 | 712 |
| MORGAN STANLEY | | NATIONAL RETAIL PROPERTIES INC | | NICOLET BANKSHARES INC | |
| Earnings, 09/18 | 763 | Earnings, 03/18 | 496 | Annual Report, 12/17 | 284 |
| Earnings, 03/18 | 495 | Annual Report, 12/17 | 280 | Interest Sale Completed | 38 |
| Annual Report, 12/17 | 277 | NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP | | Earnings, 06/18 | 639 |
| Earnings, 06/18 | 637 | Earnings, 08/18 | 763 | Earnings, 09/18 | 764 |
| MORGAN STANLEY CHINA A SHARE FUND INC | | Earnings, 02/18 | 496 | NMI HOLDINGS INC | |
| Earnings, 06/18 | 711 | Annual Report, 05/18 | 638 | Earnings, 06/18 | 639 |
| Annual Report, 12/17 | 711 | NATIONAL SECURITY GROUP, INC | | Earnings, 09/18 | 764 |
| MORGAN STANLEY ASIA-PACIFIC FUND, INC | | Annual Report, 12/17 | 281 | Annual Report, 12/17 | 284 |
| Earnings, 06/18 | 676 | Earnings, 09/18 | 797 | Earnings, 03/18 | 496 |
| MORGAN STANLEY EMERGING MARKETS DEBT FUND, INC. | | Earnings, 03/18 | 556 | NORTH EAST COMMUNITY BANCORP INC | |
| Earnings, 06/18 | 711 | Earnings, 06/18 | 638 | Annual Report, 12/17 | 596 |
| MORGAN STANLEY EMERGING MARKETS DOMESTIC DEBT FUND INC | | Merger Development | 638 | Earnings, 03/18 | 557 |
| Annual Report, 10/17 | 91 | NBT BANCORP, INC. | | Earnings, 09/18 | 764 |
| Earnings, 04/18 | 676 | Earnings, 09/18 | 763 | Annual Report, 12/17 | 437 |
| MORGAN STANLEY EMERGING MARKETS FUND, INC. | | Earnings, 03/18 | 556 | NUVEEN HIGH INCOME DECEMBER 2019 TARGET TERM FUND | |
| Earnings, 03/18 | 711 | Annual Report, 12/17 | 281 | Earnings, 06/18 | 712 |
| Restructuring | 91 | Earnings, 06/18 | 638 | Annual Report, 12/17 | 437 |
| MORGAN STANLEY INDIA INVESTMENT FUND INC. | | NEUBERGER BERMAN CALIFORNIA INTERMEDIATE MUNICIPAL FUND I | | Annual Report, 12/16 | 38 |
| Earnings, 06/18 | 711 | Annual Report, 10/17 | 92 | NORTHERN STATES FINANCIAL CORP. (WAUKEGAN, IL) | |
| MORGAN STANLEY INSTITUTIONAL Special Meeting of Stockholders | 277 | Annual Report, 10/16 | 37 | Annual Report, 12/17 | 712 |
| MORGAN STANLEY INSTITUTIONAL Merger Development | 91 | NEUBERGER BERMAN CALIFORNIA MUNICIPAL FUND INC | | Sale Completed | 713 |
| MOUNTAIN COMMERCE BANCORP INC | | Earnings, 04/18 | 711 | Merger Development | 557 |
| Earnings, 03/18 | 495 | New Name | 676 | NORTHERN TRUST CORP | |
| Earnings, 06/18 | 637 | NEUBERGER BERMAN HIGH YIELD STRATEGIES FUND INC. | | Earnings, 06/18 | 639 |
| Annual Report, 12/17 | 435 | Earnings, 04/18 | 712 | Annual Report, 12/17 | 285 |
| Earnings, 09/18 | 763 | Annual Report, 10/17 | 92 | Earnings, 03/18 | 496 |
| MR COOPER GROUP INC | | NEUBERGER BERMAN INTERMEDIATE MUNICIPAL FUND INC | | Earnings, 09/18 | 764 |
| Stock Trading Symbol | 711 | Annual Report, 10/16 | 37 | NORTHWEST BANCORP INC | |
| New Name | 711 | Annual Report, 10/17 | 92 | Earnings, 06/18 | 639 |
| Earnings, 09/18 | 763 | Earnings, 04/18 | 712 | Earnings, 03/18 | 557 |
| MSB FINANCIAL CORP (NEW) | | NEUBERGER BERMAN MLP INCOME FUND INC | | Annual Report, 12/17 | 285 |
| New Accountant | 278 | Annual Report, 11/16 | 92 | Earnings, 09/18 | 764 |
| Earnings, 06/18 | 637 | Earnings, 05/18 | 712 | NORTHWAY FINANCIAL, INC. | |
| Earnings, 03/18 | 555 | Earnings, 05/17 | 93 | Earnings, 06/18 | 764 |
| Earnings, 09/18 | 763 | NEUBERGER BERMAN MUNICIPAL FUND INC | | Annual Report, 12/17 | 496 |
| Annual Report, 12/17 | 277 | Earnings, 04/18 | 712 | NORTHWEST BANCORPORATION INC | |
| MTGE INVESTMENT CORP | | NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC | | Annual Report, 12/17 | 597 |
| Annual Report, 12/17 | 278 | New Name | 677 | Merger Development | 497 |
| Earnings, 06/18 | 637 | NEUBERGER BERMAN CALIFORNIA MUNICIPAL FUND INC | | Merger Completed | 639 |
| Earnings, 03/18 | 555 | Annual Report, 10/16 | 37 | Annual Report, 10/16 | 41 |
| Merger Development | 495 | Annual Report, 10/17 | 92 | NUVEEN MICHIGAN QUALITY MUNICIPAL INCOME FUND | |
| Merger Completed | 676 | Earnings, 09/18 | 763 | Annual Report, 02/18 | 714 |
| MUNCY BANK FINANCIAL, INC. (MUNCY, PA) | | Annual Report, 10/16 | 37 | Earnings, 08/17 | 39 |
| Earnings, 09/18 | 763 | | | Earnings, 01/18 | 677 |

†Includes balance sheet‡Includes balance sheet items.

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|--|------|--|------|---|------|
| Co. Repurchasing Certain Securities | 343 | Earnings, 03/18 | 570 | Earnings, 06/18 | 651 |
| TANGER FACTORY OUTLET CENTERS, INC. | | TOYOTA MOTOR CREDIT CORP. | | UNION FINANCIAL CORP. (MI) | |
| Annual Report, 12/17 | 343 | Earnings, 09/18 | 778 | Annual Report, 12/17 | 719 |
| Earnings, 06/18 | 650 | Annual Report, 03/18 | 570 | UNIONBANCAL CORP. | |
| TAUBMAN CENTERS INC | | Earnings, 06/18 | 651 | Earnings, 09/18 | 779 |
| Earnings, 09/18 | 777 | Earnings, 12/17 | 347 | Earnings, 03/18 | 571 |
| Annual Report, 12/17 | 343 | TPG RE FINANCE TRUST INC | | Earnings, 06/18 | 651 |
| Earnings, 03/18 | 506 | Annual Report, 12/17 | 347 | Annual Report, 12/17 | 354 |
| Earnings, 06/18 | 650 | Earnings, 09/18 | 778 | UNITED AMERICAN BANK (SAN MA) | |
| TAYLOR (CALVIN B.) BANKSHARES, INC. (MD) | | Earnings, 06/18 | 651 | Merger Completed | 507 |
| Earnings, 09/18 | 777 | Earnings, 03/18 | 570 | UNITED BANCORP, INC. (MARTINS FERRY, OH) | |
| Earnings, 03/18 | 570 | TOWNEBANK | | Annual Report, 12/17 | 572 |
| Annual Report, 12/17 | 456 | Earnings, 09/18 | 778 | Dividend Announcement | 651 |
| Earnings, 06/18 | 650 | Merger Completed | 99 | Earnings, 09/18 | 800 |
| TCF FINANCIAL CORP | | Annual Report, 12/17 | 348 | Annual Report, 12/17 | 354 |
| Earnings, 09/18 | 777 | Earnings, 03/18 | 570 | Earnings, 06/18 | 652 |
| Earnings, 03/18 | 506 | TRANSCONTINENTAL REALTY INVESTORS, INC. | | Merger Completed | 572 |
| Earnings, 06/18 | 650 | Earnings, 09/18 | 778 | Earnings, 03/18 | 507 |
| Annual Report, 12/17 | 344 | Joint Venture Completed | 800 | UNITED BANCSHARES INC. (OH) | |
| Acquisition Completed | 46 | Earnings, 03/18 | 506 | Earnings, 06/18 | 652 |
| TCW STRATEGIC INCOME FUND INC | | Annual Report, 12/17 | 457 | Earnings, 03/18 | 507 |
| Earnings, 06/18 | 681 | TRAVELERS COMPANIES INC (THE) | | Annual Report, 12/17 | 355 |
| TEKLA HEALTHCARE INVESTORS | | Earnings, 03/18 | 507 | UNITED BANKSHARES INC | |
| Annual Report, 09/17 | 46 | Earnings, 09/18 | 719 | Earnings, 03/18 | 572 |
| TEKLA HEALTHCARE OPPORTUNITIES FUND | | Annual Report, 12/17 | 348 | Earnings, 06/18 | 652 |
| Earnings, 03/18 | 681 | Earnings, 09/18 | 800 | Annual Report, 12/17 | 355 |
| Annual Report, 09/17 | 99 | Earnings, 06/18 | 599 | Earnings, 09/18 | 779 |
| Earnings, 03/18 | 681 | TRI-CONTINENTAL CORP. | | UNITED COMMUNITY BANCORP (LAWRENCEBURG, IN) | |
| TEKLA WORLD HEALTHCARE FUND | | Annual Report, 12/17 | 507 | Merger Development | 356 |
| Earnings, 03/18 | 681 | Earnings, 06/18 | 681 | UNITED COMMUNITY BANCORP (IN) | |
| Earnings, 03/17 | 47 | TRI-VALLEY BANK | | Merger Completed | 681 |
| Annual Report, 09/17 | 47 | Merger Development | 458 | Earnings, 03/18 | 572 |
| TEXAS CAPITAL BANCSHARES INC | | TRIAD GUARANTY INC. | | Earnings, 12/17 | 100 |
| Earnings, 09/18 | 777 | Bankruptcy Proceedings | 507 | UNITED COMMUNITY BANKS INC (BLAIRSVILLE, GA) | |
| Earnings, 03/18 | 506 | Bankruptcy Proceedings | 48 | Earnings, 03/18 | 572 |
| Earnings, 06/18 | 650 | Bankruptcy Proceedings | 348 | Earnings, 06/18 | 652 |
| Annual Report, 12/17 | 344 | TRIANGLE CAPITAL CORP | | Merger Completed | 100 |
| TEMPLETON CHINA WORLD FUND, INC. | | Interest Sale Completed | 651 | Annual Report, 12/17 | 356 |
| Earnings, 02/17 | 99 | Annual Report, 12/17 | 348 | Earnings, 09/18 | 779 |
| Annual Report, 08/17 | 99 | Earnings, 03/18 | 507 | UNITED COMMUNITY FINANCIAL CORP. (OH) | |
| Earnings, 02/18 | 719 | TRICOUNTY FINANCIAL GROUP, INC. | | Earnings, 09/18 | 779 |
| TEMPLETON DRAGON FUND, INC. | | Earnings, 06/18 | 651 | Earnings, 03/18 | 572 |
| Earnings, 06/18 | 719 | TRICO BANCSHARES (CHICO, CA) | | Earnings, 06/18 | 652 |
| TEMPLETON EMERGING MARKETS FUND | | Earnings, 06/18 | 651 | Annual Report, 12/17 | 356 |
| Earnings, 02/18 | 681 | Earnings, 09/18 | 778 | UNITED FINANCIAL BANCORP INC (NEW) | |
| TEMPLETON EMERGING MARKETS INCOME FUND (DE) | | Earnings, 03/18 | 571 | Earnings, 09/18 | 779 |
| Earnings, 06/18 | 719 | Annual Report, 12/17 | 349 | Earnings, 03/18 | 572 |
| TEMPLETON GLOBAL INCOME FUND (DE) | | Earnings, 09/18 | 778 | Annual Report, 12/17 | 357 |
| Earnings, 06/18 | 719 | Earnings, 03/18 | 571 | Earnings, 06/18 | 652 |
| TERRITORIAL BANCORP INC | | Earnings, 06/18 | 651 | UNITED FIRE GROUP, INC. | |
| Annual Report, 12/17 | 345 | TRUSTCO BANK CORP. (N.Y.) | | Earnings, 03/18 | 573 |
| Earnings, 09/18 | 778 | Annual Report, 12/17 | 350 | Annual Report, 12/17 | 357 |
| Earnings, 03/18 | 570 | Earnings, 03/18 | 571 | Earnings, 09/18 | 779 |
| Earnings, 03/18 | 570 | Earnings, 06/18 | 651 | Earnings, 06/18 | 652 |
| Earnings, 06/18 | 650 | Earnings, 09/18 | 778 | Interest Sale Completed | 459 |
| TEUCRIUM COMMODITY TRUST | | TRUSTMARK CORP | | UNITED SECURITY BANCSHARES (CA) | |
| Earnings, 09/18 | 799 | Earnings, 09/18 | 778 | Earnings, 09/18 | 779 |
| Earnings, 03/18 | 570 | Earnings, 03/18 | 571 | Earnings, 03/18 | 573 |
| Earnings, 06/18 | 650 | Annual Report, 12/17 | 350 | Annual Report, 12/17 | 358 |
| Annual Report, 12/17 | 345 | Earnings, 06/18 | 651 | Earnings, 06/18 | 652 |
| TFS FINANCIAL CORP | | TURKISH INVESTMENT FUND INC (THE) | | Earnings, 09/18 | 800 |
| Earnings, 03/18 | 570 | Annual Report, 10/17 | 48 | US BANCORP (DE) | |
| Annual Meeting Development | 99 | Liquidation Development | 48 | Annual Report, 12/17 | 358 |
| Annual Report, 09/18 | 799 | TWO RIVER BANCORP | | Earnings, 06/18 | 652 |
| Earnings, 06/18 | 650 | Earnings, 09/18 | 778 | Earnings, 03/18 | 508 |
| Earnings, 12/17 | 99 | Annual Report, 12/17 | 351 | Earnings, 09/18 | 779 |
| THE BANCORP INC | | Earnings, 03/18 | 571 | UNITED STATES COMMODITY INDEX FUND | |
| Earnings, 03/18 | 570 | Earnings, 06/18 | 651 | Annual Report, 12/17 | 359 |
| Earnings, 09/18 | 778 | UDR INC | | Earnings, 09/18 | 779 |
| Earnings, 06/18 | 650 | Earnings, 03/18 | 507 | UNITED STATES DIESEL-HEATING OIL FUND LP | |
| Annual Report, 12/17 | 345 | Earnings, 09/18 | 778 | Earnings, 06/18 | 652 |
| THL CREDIT, INC. | | Annual Report, 12/17 | 778 | Annual Report, 12/17 | 359 |
| Annual Report, 12/17 | 346 | Earnings, 06/18 | 651 | Liquidation Development | 681 |
| Earnings, 03/18 | 506 | ULLICO INC. | | Earnings, 03/18 | 573 |
| Earnings, 09/18 | 778 | Acquisition Development | 352 | UNITED STATES GASOLINE FUND LP | |
| Earnings, 06/18 | 650 | Acquisition Completed | 507 | Earnings, 09/18 | 779 |
| THOMASVILLE BANCSHARES, INC. | | UMB FINANCIAL CORP | | Earnings, 06/18 | 652 |
| Annual Report, 12/17 | 506 | Annual Report, 12/17 | 352 | Annual Report, 12/17 | 359 |
| THORNBURG MORTGAGE INC | | Earnings, 03/18 | 507 | Earnings, 03/18 | 573 |
| Bankruptcy Proceedings | 570 | Earnings, 06/18 | 651 | UNITED STATES SHORT OIL FUND LP | |
| Bankruptcy Proceedings | 599 | Earnings, 09/18 | 778 | Earnings, 03/18 | 359 |
| Bankruptcy Proceedings | 346 | UMH PROPERTIES INC | | Earnings, 09/18 | 573 |
| Bankruptcy Proceedings | 457 | Acquisition Completed | 681 | Liquidation Development | 681 |
| Bankruptcy Proceedings | 99 | Annual Report, 12/17 | 352 | UNITED STATES 12 MONTH NATURAL GAS FUND LP | |
| TIMBERLAND BANCORP, INC. | | Acquisition Completed | 571 | Earnings, 09/18 | 800 |
| Annual Report, 09/17 | 47 | Earnings, 03/18 | 507 | Annual Report, 12/17 | 360 |
| Earnings, 03/18 | 570 | Secondary Offering | 99 | Earnings, 03/18 | 573 |
| Merger Completed | 719 | UMPQUA HOLDINGS CORP | | Earnings, 06/18 | 652 |
| Annual Meeting Development | 47 | Earnings, 06/18 | 651 | UNITED STATES NATURAL GAS FUND LP | |
| Earnings, 06/18 | 650 | New Accountant | 571 | Earnings, 09/18 | 779 |
| Earnings, 12/17 | 99 | Earnings, 03/18 | 571 | Earnings, 06/18 | 652 |
| TITREX INC | | Earnings, 09/18 | 778 | Annual Report, 12/17 | 360 |
| Earnings, 09/18 | 778 | Annual Meeting Development | 353 | Earnings, 03/18 | 573 |
| Earnings, 06/18 | 650 | Annual Report, 12/17 | 353 | U&I FINANCIAL CORP | |
| Merger Completed | 457 | UNICO AMERICAN CORP. | | Annual Report, 12/17 | 720 |
| Earnings, 03/18 | 570 | Annual Report, 12/17 | 458 | UNITED STATES 12 MONTH OIL FUND LP | |
| Interest Sale Completed | 99 | Earnings, 09/18 | 778 | Earnings, 09/18 | 779 |
| Annual Report, 12/17 | 346 | Earnings, 03/18 | 571 | Annual Report, 12/17 | 360 |
| TORCHMARK CORP | | Earnings, 06/18 | 651 | Earnings, 09/18 | 779 |
| Earnings, 06/18 | 651 | UNION BANKSHARES, INC. (MORRISVILLE VT) | | Annual Report, 12/17 | 360 |
| Earnings, 03/18 | 506 | Earnings, 03/18 | 571 | Earnings, 09/18 | 779 |
| Earnings, 09/18 | 778 | Earnings, 06/18 | 651 | Earnings, 03/18 | 573 |
| Annual Report, 12/17 | 347 | Earnings, 09/18 | 778 | Earnings, 06/18 | 652 |
| TORTOISE ENERGY INDEPENDENCE FUND INC | | Annual Report, 12/17 | 353 | Annual Report, 12/17 | 360 |
| Earnings, 05/18 | 681 | UNION BANK (GREENVILLE, NC) | | UNITED TENNESSEE BANKSHARES, INC. | |
| TORTOISE MLP FUND INC | | Annual Report, 12/17 | 458 | Earnings, 09/18 | 779 |
| Earnings, 05/18 | 681 | Earnings, 06/18 | 651 | Annual Report, 12/17 | 599 |
| TORTOISE PIPELINE & ENERGY FUND INC. | | Earnings, 09/18 | 778 | Earnings, 06/18 | 652 |
| Earnings, 05/18 | 681 | UNION BANKSHARES CORP (NEW) | | Earnings, 03/18 | 508 |
| TOWER PROPERTIES CO. | | Merger Completed | 48 | Annual Report, 12/17 | 361 |
| Annual Report, 12/17 | 457 | Offering | 99 | Earnings, 09/18 | 779 |
| Earnings, 06/18 | 651 | Annual Report, 12/17 | 354 | Earnings, 06/18 | 652 |
| TOWN CENTER BANK (FRANKFORT, IL) | | Earnings, 09/18 | 778 | UNIVERSAL HEALTH REALTY INCOME TRUST | |
| Annual Report, 12/17 | 457 | Earnings, 03/18 | 571 | Earnings, 03/18 | 508 |

†Includes balance sheet‡Includes balance sheet items.

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|--|------|---|------|---|------|
| Annual Report, 12/17 | 101 | Earnings, 06/18 | 655 | WESTERN ASSET HIGH INCOME FUND II INC | 781 |
| Earnings, 09/18 | 780 | WCF BANCORP INC | | Earnings, 10/17 | 102 |
| VOYA ASIA PACIFIC DIVIDEND EQUITY INCOME FUND | | Earnings, 03/18 | 575 | Annual Report, 04/18 | 781 |
| Annual Report, 02/18 | 720 | Annual Report, 12/17 | 462 | WESTERN ASSET HIGH INCOME OPPORTUNITY FUND INC | |
| Earnings, 08/17 | 101 | Earnings, 06/18 | 655 | Annual Report, 09/17 | 49 |
| VOYA EMERGING MARKETS HIGH DIVIDEND EQUITY FUND | | Earnings, 09/18 | 800 | Annual Report, 09/17 | 49 |
| Annual Report, 02/18 | 720 | WEBSTER FINANCIAL CORP (WATERBURY, CONN) | | Earnings, 03/18 | 682 |
| VOYA FINANCIAL INC | | Earnings, 03/18 | 509 | Annual Meeting Development | 49 |
| Earnings, 06/18 | 654 | Earnings, 06/18 | 655 | WESTERN ASSET INFLATION-LINKED INCOME FUND | |
| Earnings, 03/18 | 509 | Annual Report, 12/17 | 367 | New Name | 510 |
| Earnings, 09/18 | 780 | Earnings, 09/18 | 780 | Earnings, 05/18 | 682 |
| Interest Sale Completed | 575 | WEINGARTEN REALTY INVESTORS | | WESTERN ASSET INFLATION-LINKED OPPORTUNITIES & INCOME FUND | |
| Annual Report, 12/17 | 364 | Earnings, 06/18 | 655 | New Name | 510 |
| VOYA RETIREMENT INSURANCE & ANNUITY CO - INSURANCE PRODUCTS | | Annual Report, 12/17 | 368 | Earnings, 05/18 | 682 |
| Earnings, 03/18 | 575 | Earnings, 03/18 | 509 | Annual Report, 11/16 | 49 |
| Earnings, 06/18 | 654 | Earnings, 09/18 | 780 | WESTERN ASSET INTERMEDIATE MUNI FUND INC | |
| Annual Report, 12/17 | 461 | WELLS FARGO & CO. | | Earnings, 05/18 | 723 |
| VOYA GLOBAL ADVANTAGE & PREMIUM OPPORTUNITY FUND | | Earnings, 03/18 | 509 | Annual Report, 12/17 | 373 |
| Annual Report, 02/18 | 721 | Earnings, 06/18 | 655 | WESTERN ASSET INVESTMENT GRADE DEFINED OPPORTUNITY TRUST INC | |
| Earnings, 08/17 | 48 | Earnings, 09/18 | 780 | Earnings, 05/18 | 682 |
| Earnings, 08/17 | 48 | Interest Sale Development | 368 | WESTERN ASSET INVESTMENT GRADE INCOME FUND INC | |
| VOYA GLOBAL EQUITY DIVIDEND & PREMIUM OPPORTUNITY FUND | | Annual Report, 12/17 | 368 | New Name | 463 |
| Annual Report, 02/18 | 721 | Interest Sale Completed | 800 | Earnings, 06/18 | 682 |
| Earnings, 08/17 | 48 | WELLS FARGO GLOBAL DIVIDEND OPPORTUNITY FUND | | WESTERN ASSET MANAGED MUNICIPAL FUND INC | |
| Earnings, 08/17 | 48 | Earnings, 04/18 | 682 | Annual Report, 05/18 | 781 |
| VOYA INFRASTRUCTURE INDUSTRIALS & MATERIALS FUND | | Annual Meeting Development | 48 | WESTERN ASSET MORTGAGE CAPITAL CORP | |
| Annual Report, 02/18 | 721 | Annual Report, 10/17 | 102 | Earnings, 03/18 | 510 |
| Earnings, 08/17 | 101 | WELLS FARGO MULTI-SECTOR INCOME FUND | | Earnings, 09/18 | 781 |
| VOYA INTERNATIONAL HIGH DIVIDEND EQUITY INCOME FUND | | Annual Meeting Development | 49 | Co. Repurchasing Certain Securities | 50 |
| Annual Report, 02/18 | 721 | Annual Report, 10/17 | 49 | Annual Report, 12/17 | 463 |
| VOYA PRIME RATE TRUST | | Earnings, 04/17 | 49 | Earnings, 06/18 | 655 |
| Earnings, 08/17 | 48 | Earnings, 04/18 | 722 | WESTERN ASSET MORTGAGE DEFINED OPPORTUNITY FUND, INC. | |
| Annual Report, 02/18 | 722 | WELLS FARGO UTILITIES & HIGH INCOME FUND | | Earnings, 06/18 | 682 |
| VOYA NATURAL RESOURCES EQUITY INCOME FUND | | Earnings, 02/18 | 722 | WESTERN ASSET MUNICIPAL HIGH INCOME FUND INC | |
| Annual Report, 02/18 | 722 | Annual Report, 08/17 | 102 | Annual Report, 10/17 | 50 |
| VOYA SENIOR INCOME FUND | | WELLTOWER INC | | Earnings, 04/18 | 682 |
| Earnings, 08/17 | 101 | Annual Report, 12/17 | 369 | WESTERN ASSET MUNICIPAL PARTNERS FUND INC | |
| Annual Report, 02/17 | 101 | Earnings, 03/18 | 510 | Earnings, 05/18 | 682 |
| VSB BANCORP INC (NY) | | Acquisition Development | 510 | WESTERN ASSET MUNICIPAL TERM TRUST INC. | |
| Earnings, 06/18 | 654 | Merger Completed | 655 | Earnings, 05/18 | 682 |
| Annual Report, 12/17 | 462 | Acquisition Development | 49 | Annual Report, 12/17 | 374 |
| Earnings, 09/17 | 102 | Earnings, 09/18 | 781 | Earnings, 06/18 | 656 |
| | | Earnings, 06/18 | 655 | Annual Report, 12/17 | 374 |
| | | WESBANCO INC | | Merger Development | 576 |
| | | Earnings, 06/18 | 655 | WORLD CURRENCY GOLD TRUST | |
| | | Earnings, 09/18 | 781 | Annual Report, 09/17 | 50 |
| | | Dividend Announcement | 655 | Earnings, 12/17 | 374 |
| | | Merger Completed | 655 | WORLD GOLD TRUST | |
| | | Merger Completed | 463 | New Name | 510 |
| | | Annual Report, 12/17 | 369 | Earnings, 03/18 | 510 |
| | | Earnings, 03/18 | 510 | W.P. CAREY INC | |
| | | Merger Development | 463 | Merger Completed | 782 |
| | | WEST BANCORPORATION, INC. | | Earnings, 03/18 | 510 |
| | | Earnings, 06/18 | 655 | Earnings, 09/18 | 782 |
| | | Earnings, 09/18 | 781 | Earnings, 06/18 | 656 |
| | | Annual Report, 12/17 | 369 | Annual Report, 12/17 | 374 |
| | | Earnings, 03/18 | 510 | Earnings, 03/18 | 576 |
| | | Merger Development | 463 | WVS FINANCIAL CORP. | |
| | | WEST END INDIANA BANCSHARES INC. | | Earnings, 09/18 | 800 |
| | | Annual Report, 12/17 | 463 | Earnings, 12/17 | 103 |
| | | Earnings, 03/18 | 575 | Annual Report, 06/18 | 723 |
| | | Earnings, 06/18 | 655 | Earnings, 03/18 | 576 |
| | | WESTAMERICA BANCORPORATION | | | |
| | | Earnings, 06/18 | 655 | X | |
| | | Earnings, 03/18 | 575 | XAI OCTAGON FLOATING RATE & ALTERNATIVE INCOME TERM TRUST | |
| | | Earnings, 09/18 | 781 | Earnings, 03/18 | 724 |
| | | Annual Report, 12/17 | 370 | Annual Report, 09/17 | 103 |
| | | WESTBOUND BANK | | XENITH BANKSHARES INC (NEW) | |
| | | Merger Completed | 575 | Merger Completed | 50 |
| | | WESTBURY BANCORP INC | | Y | |
| | | Earnings, 06/18 | 655 | YUEXIU PROPERTY CO LTD | |
| | | Earnings, 12/17 | 102 | Annual Report, 12/17 | 464 |
| | | Earnings, 03/18 | 510 | Z | |
| | | WESTERN ALLIANCE BANCORPORATION | | ZIONS BANCORPORATION | |
| | | Earnings, 06/18 | 655 | Earnings, 03/18 | 510 |
| | | Annual Report, 12/17 | 370 | Earnings, 06/18 | 656 |
| | | Earnings, 09/18 | 781 | Annual Report, 12/17 | 375 |
| | | Earnings, 03/18 | 722 | ZIONS BANCORPORATION, N.A. | |
| | | WESTERN ASSET CORPORATE LOAN FUND INC | | New Name | 724 |
| | | Annual Meeting Development | 49 | Dividend Announcement | 782 |
| | | Earnings, 03/18 | 682 | Earnings, 09/18 | 782 |
| | | WESTERN ASSET EMERGING MARKETS DEBT FUND INC | | Restructuring | 724 |
| | | Earnings, 06/18 | 723 | | |

†Includes balance sheet‡Includes balance sheet items.

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